

TOP SECRET

STATUS OF QUADRIPARTITE PLANNING ON AIR ACCESS AS OF 13 OCTOBER 1961
(Planning has been accomplished by the U.S., U.K., & French
Governments in consultation with the FRG)

<u>CONTINGENCY</u>	<u>RESPONSE</u>	<u>POSITION OF GOVERNMENTS</u>	<u>ACTION REQUIRED</u>
1. Harassments not affecting flight safety.	(a) Continue present civil and military flights.	Agreed	
	(b) Fighter aircraft excluded from air corridors on political grounds but legal right to do so maintained.	Agreed	
	(c) Continue current practice of flying high FRG government officials in military transport under instructions to avoid, if possible, landing in East Germany.	Agreed current practice.	
2. Harassments without use of force affecting flight safety.	Continue civil flights as long as practicable from flight safety view.	Agreed	
3.a. Airlines and/or technical authorities determine regular civil flights unsafe or impractical.	(1) Continue civil flights on reduced scale with military air crews in uniform. (Flights on this basis may be instituted for individual airlines.) General Norstad at his discretion may fly probe flights without passengers.	UK-US agreed position. For the present the UK would only be able to fly civil aircraft probes without passengers. UK discussions are still in progress on the legal, financial and administrative arrangements for flying UK civil aircraft with passengers and military crews. French position stated in 3.a.(7).	The UK to complete legal and financial arrangements with civil air carrier.

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CONTINGENCY	RESPONSE	POSITION OF GOVERNMENTS	ACTION REQUIRED
3.a. (Continued)	(2) Supplement such flights with military transports as practicable and necessary to maintain traffic as required.	US & UK consider General Norstad has discretion. French position stated in 3.a.(7).	Ambassadorial Group seeking tripartite agreement.
	(3) Civil and military flights under operational control of JACK PINE Command Post.	US, UK and French agree for military flights. French reserve position on civil flights.	Ambassadorial Group seeking tripartite agreement.
	(4) Take action to prevent hi-jacking (armed guards and locked cockpits) of civil aircraft with military crews.	US and UK agree General Norstad has discretion. French no objection.	
	(5) Fighters placed on air alert at corridor entrances, if circumstances require.	US and UK agree General Norstad has discretion to implement on basis of JACK PINE II. French position: Decision to use fighters for indirect protection will be taken by governments with announcement.	Ambassadorial Group seeking tripartite agreement.
	(6) Before flights initiated Governments through Ambassadors in Bonn issue statement on following lines. Because of Soviet/GDR action the governments of the US, UK, and France have found it necessary to assume some additional responsibilities for safe continuation of air traffic to Berlin. Accordingly, the governments concerned will take appropriate measures to achieve this, including as necessary, the provision of fighter protection, and in some cases, military crews to fly civil air transport. The three governments hold the Soviet Union responsible for any incidents which follow.	US and UK agree. French position under consideration.	Ambassadorial Group seeking tripartite agreement.

CONTINGENCY	RESPONSE	POSITION OF GOVERNMENTS	ACTION REQUIRED
3.a. (Continued)	(7) <u>French Approach</u> Shift to military transport. Continue civil flights without passengers on reduced scale with requisitioned civilian crews.	French position: shift to un-escorted transport while continuing a few civil flights without passengers with requisitioned civilian crews as long as military transports meet with no opposition. Decision to shift to military aircraft will be taken by the French Government.	Ambassadorial Group seeking tripartite agreement.
3.b. Soviet/GDR physical harassments of civil flights with military crews or military transports.	General Norstad at his discretion authorized to use fighter protection on basis of JCS instructions of 31 August 1961 and JACK PINE II rules of engagement.	US-UK agreed position. French position: decision to introduce escorts will be taken by governments.	Ambassadorial Group seeking tripartite agreement
4. A civil or military transport is shot down or forced down by military action.	If in these circumstances General Norstad considers it inappropriate to proceed as in 3 above, shift to military transport on a tripartite basis. General Norstad at his discretion may initiate fighter protection on the basis of the JCS instructions of 31 August 1961 and the JACK PINE II rules of engagement. First flight may be unescorted and without passengers. Before flights started, three governments through their Ambassadors at Bonn would issue appropriate public statement to effect that airlift will continue and necessary measures will continue to be taken to protect transports.	Agreed by US-UK. French position: shift to military transports should be announced by three governments which would point out that military flights will be escorted if there are obstacles to their passage and that there will be a reply in case of attack. The actual decision to introduce escorts will be taken by governments. French position "governing principles": 1. Legitimate defense can be envisaged only in the case of an aircraft which, when attacked in the air, is able to defend itself; this is the individual reply to an attack which is normally admitted.	Ambassadorial Group seeking tripartite agreement

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4. (Continued)		2. Response in the air should of course be prepared, but such response could actually take place only after agreement between the governments concerned.	
5. Ground-to-air action against flights in corridors by obstacles and surface-to-air firing.	To destroy barrage balloons or other obstacles within the corridors or the Berlin Control Zone which are endangering safety of flight and to attack those ground targets, excluding airfields, in or immediately adjacent to the air corridors which can be specifically identified in the act of firing at Allied aircraft.	<p>US and UK agree General Norstad has discretion to destroy barrage balloons. Their decision on further action awaits General Norstad's elaboration of the measures he is contemplating and his proposed instructions in this regard.</p> <p>French position:</p> <p>In the case of balloons which might be flown around Berlin to block our airports they might have to be destroyed but this would be done only after government decision and announcement.</p> <p>In the case of ground-to-air attack against air transports or fighters, no decision is taken for the moment. General Norstad should be requested to elaborate on the measures he is contemplating before formalizing his plans.</p> <p>French position "governing principles":</p> <p>1. Legitimate defense can be envisaged only in the case of an aircraft which, when attacked in the air, is able to defend itself; this is the individual reply to an attack which is normally admitted.</p>	Ambassadorial Group seeking tripartite agreement. Additional JACK PINE planning awaited.

<u>CONTINGENCY</u>	<u>RESPONSE</u>	<u>POSITION OF GOVERNMENTS</u>	<u>ACTION REQUIRED</u>
5. (Continued)		2. Response against the ground should of course be prepared, but such response could actually take place only after agreement between the governments concerned.	
6. Soviet/GDR measures to endanger flight safety by ECM activity.	Improve VFR capability in area and institute newest ECCM equipment and procedures.	Agreed by US and FRG. Under urgent consideration by UK, and French Governments.	US to provide requisite information, plans, and equipment to accomplish this subject to conclusion of necessary arrangements between governments.
7. Expansion of air-to-air or air-to-ground conflicts beyond the levels listed above.	Planning by LIVE OAK for large-scale military operation with transition from LIVE OAK responsibility to NATO.	Under consideration by governments.	